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IAP12 Rec'd PCT/PTO 25 JUN 2007

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PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/591,142-Conf. #6124
	Filing Date	August 30, 2006
	First Named Inventor	Michel A. Maillard
	Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	17250/021001

**ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input checked="" type="checkbox"/> Reply to Missing Parts/Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input checked="" type="checkbox"/> Petition Under 37 C.F.R. §1.47(a)  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Part 2 Copy of Notice (2 pages) Certificate of Express Mailing (1 page) Return Receipt Postcard
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	OSHA · LIANG LLP		
Signature			
Printed name	Jonathan P. Osha	22511	PATENT TRADEMARK OFFICE
Date	June 25, 2007	Reg. No.	33,986

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MAY 04 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

OSHA • LIANG LLP

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/591,142	Michel Maillard	11345/080001

INTERNATIONAL APPLICATION NO.
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PCT/EP05/50720

I.A. FILING DATE	PRIORITY DATE
02/18/2005	03/11/2004

22511  
 OSHA LIANG L.L.P.  
 1221 MCKINNEY STREET  
 SUITE 2800  
 HOUSTON, TX 77010

CONFIRMATION NO. 6124

371 FORMALITIES LETTER



\*OC000000023596130\*

Date Mailed: 04/30/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/30/2006
- Copy of the International Search Report filed on 08/30/2006
- Preliminary Amendments filed on 08/30/2006
- Information Disclosure Statements filed on 08/30/2006
- Oath or Declaration filed on 08/30/2006
- Request for Immediate Examination filed on 08/30/2006
- U.S. Basic National Fees filed on 08/30/2006
- Priority Documents filed on 08/30/2006

Docketed Action		
<input checked="" type="checkbox"/> New	<input type="checkbox"/> Previously	<input type="checkbox"/> Not Required
Docket Number: 17250/021001		
Attorney Initials: JPo / smm		
Docketing Initials: 010		

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

COPY

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/591,142	PCT/EP05/50720	11345/080001

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

<p>Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.</p> <p>Effective on 12/08/2004.  Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</p> <p><b>FEE TRANSMITTAL</b>  <b>For FY 2007</b></p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p><b>Complete if Known</b></p> <p>Application Number      10/591,142-Conf. #6124</p> <p>Filing Date                August 30, 2006</p> <p>First Named Inventor      Michel A. Maillard</p> <p>Examiner Name             Not Yet Assigned</p> <p>Art Unit                     Not Yet Assigned</p> <p>Attorney Docket No.       17250/021001</p>	
<p><b>TOTAL AMOUNT OF PAYMENT</b></p>		<p><b>(\$)</b>    130.00</p>	

<b>METHOD OF PAYMENT</b> (check all that apply)			
<input type="checkbox"/> Check	<input checked="" type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account		Deposit Account Number: <b>50-0591</b> Deposit Account Name: <b>Osha · Liang LLP</b>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)			
<input type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee		
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments		

FEE CALCULATION							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
Fee Description	Fee (\$)	Small Entity Fee (\$)					
Each claim over 20 (including Reissues)	50	25					
Each independent claim over 3 (including Reissues)	200	100					
Multiple dependent claims	360	180					
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>			
				<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>		
HP = highest number of total claims paid for, if greater than 20.							
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>				
HP = highest number of independent claims paid for, if greater than 3.							
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>			
<b>4. OTHER FEE(S)</b>							
				<b>Fees Paid (\$)</b>			
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): <b>1051 Surcharge-Late oath or declaration</b>				<b>130.00</b>			

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	33,986 Telephone (713) 228-8600
Name (Print/Type)	Jonathan P. Osha		Date June 25, 2007

22511

PATENT TRADEMARK OFFICE

Docket No.: 17250/021001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**22511**

PATENT TRADEMARK OFFICE

In re Patent Application of:  
Michel A. Maillard, et al.

Confirmation No.: 6124

Application No.: 10/591,142

Art Unit: Not Yet Assigned

Filed: August 30, 2006

Examiner: Not Yet Assigned

For: SMARTCARD DYNAMIC MANAGEMENT

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371**  
**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) – Filing Date Granted mailed April 30, 2007, Applicant respectfully submits the following documents: 1) Transmittal Form (1 page); 2) Part 2 Copy of Notice (2 pages); 3) Fee Transmittal Form (1 page); 4) Payment by credit card, Form PTO-2038 attached (1 page), charge \$130.00 to credit card; 5) a Petition Under 37 C.F.R. §1.47(a), with 2 attachments (14 pages); 6) a Certificate of Express Mailing (1 page); and 7) a return receipt Postcard.

06/27/2007 GFREY1 00000156 10591142

01 FC:1617

130.00 OP

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0591, under Order No. 17250/021001.

Dated: June 25, 2007

Respectfully submitted,

By 

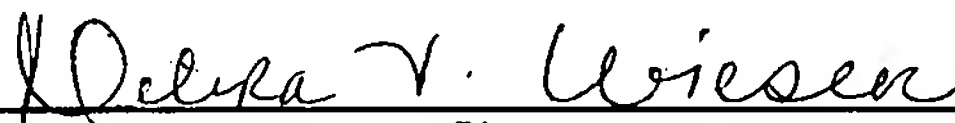
Jonathan P. Osha  
Registration No.: 33,986  
OSHA · LIANG LLP  
1221 McKinney St., Suite 2800  
Houston, Texas 77010  
(713) 228-8600  
(713) 228-8778 (Fax)

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV841967237US, in an envelope addressed to:

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on June 25, 2007  
Date



Signature

22511

Debra V. Wieser

PATENT TRADEMARK OFFICE

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Form (1 page)  
Part 2 Copy of Notice (2 pages)  
Fee Transmittal Form (1 page)  
Payment by credit card; Form PTO-2038 is attached (1 page);  
charge \$130.00 to credit card  
Response to Notification of Missing Requirements (2 pages)  
Petition Under 37 C.F.R. §1.47(a) (2 pages), with 2 Attachments (12  
pages)  
Return Receipt Postcard